



# Enhancing Transparency: Insights From the Common Criteria Certification Ecosystem

Vashek Matyas  [matyas@fi.muni.cz](mailto:matyas@fi.muni.cz)


Centre for Research on Cryptography and Security, Masaryk University, Czechia

*Joint work with Petr Svenda, Jan Jancar, Adam Janovsky, Martin Ukrop, Stanislav Bobon, Martin Fryan, Milan Broz, Jaroslav Reznik, and many others (thank you all!)*

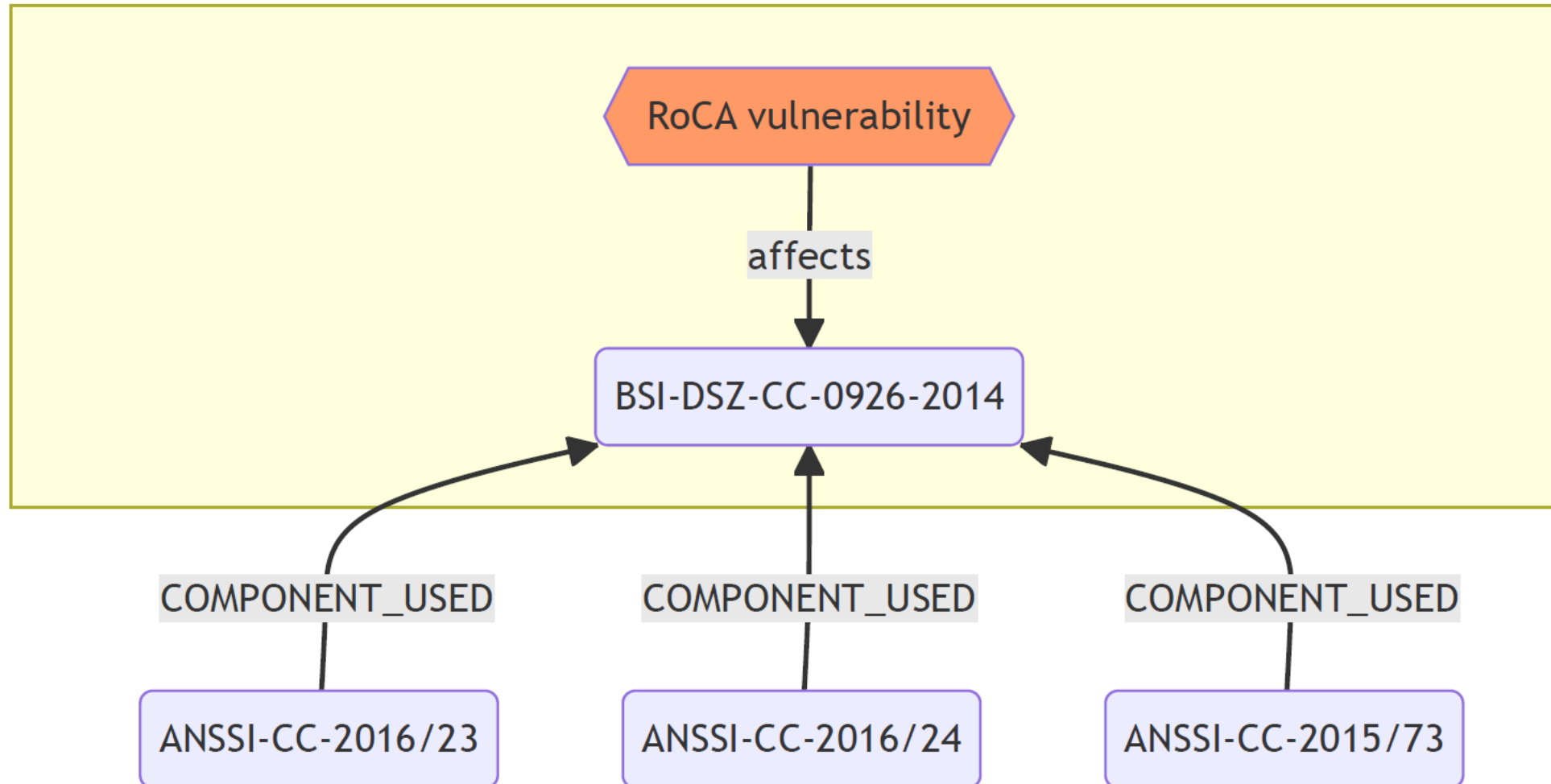
**CRCS**

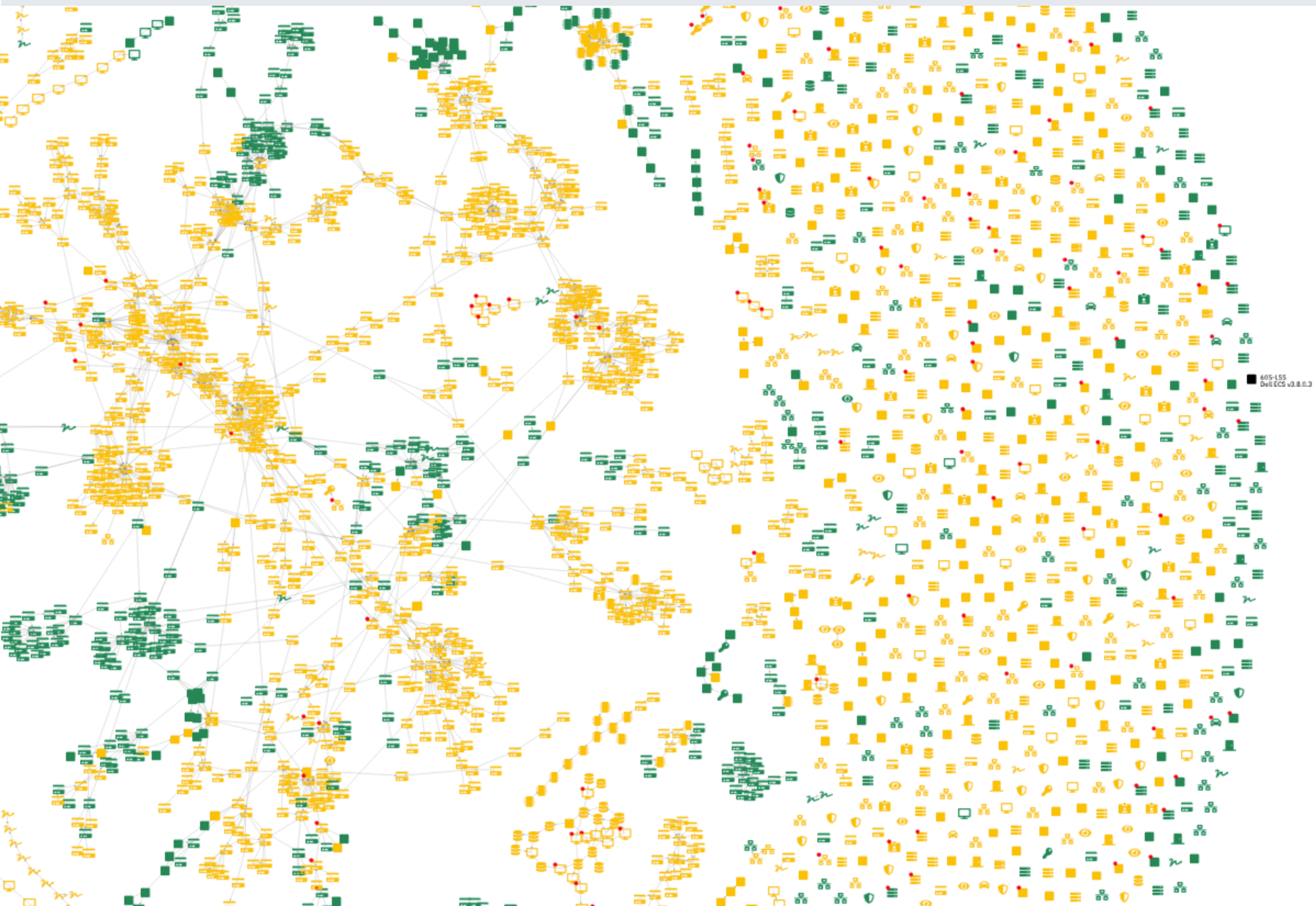
Centre for Research on  
Cryptography and Security

## CVE-2017-15361 (RoCA)

- [CVE-2017-15361]: practical factorization of certain RSA keys.
- Billion+ devices affected.
-  How many products certified under Common Criteria are impacted?


# CVE-2017-15361





## What if you need help answering questions like


- What processor architectures are commonly used in certifications/products of interest?
- How do we compare with our competitors (their certified products)?
- Check how long evaluations take for certain labs, types of products, etc.

NVD vulnerability database  
<https://nvd.nist.gov/>  
 Base Score: **8.8 HIGH**


List of platforms and vulnerabilities (CPE, CVE)



### Common Criteria

 National Certificate Authorizing Schemes (BSI, ANSSI, NAIP...)

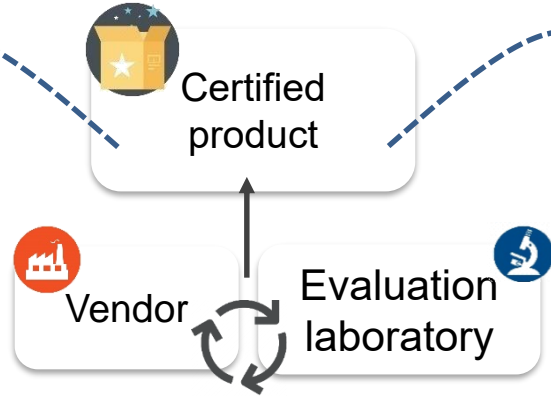
Common Criteria Certification portal  
<https://www.commoncriteriaportal.org/>

 Certification artifacts (Certificate, Security Target, Security Policy...)


sec-certs git repository  
<https://github.com/crocs-muni/sec-certs>

sec-certs webpage  
<https://sec-certs.org/>


sec-certs API  
 Python CLI, Jupyter Notebooks, Binder, Docker

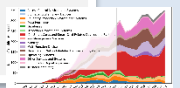


### NIST FIPS 140-2/3

 NIST CMVP (Cryptographic Module Validation Program)  
<https://csrc.nist.gov/Projects/cryptographic-module-validation-program/>

NIST CMVP portal

Extracted data (JSON)  


Analyses and visualizations  


## Main functionality of *sec-certs.org* project

- Fulltext search over all CC and FIPS 140 certificates
- Continuous insight into certification ecosystem
- Extracted graph of references between certificates
- Mapping to NIST National Vulnerability Database (CVEs)
- Automatic notification of events for observed certificates (RSS feed)
- Correlation of certification requirements and vulnerability occurrence
- Python API for custom queries, preprocessed datasets for downloads
- Connecting additional metadata about certified items (tests, information)
- Local processing with inclusion of non-public documents

## Users of the sec-certs.org tool

- Owners/users of certified devices / security researchers
  - What security claims are made?
  - What certificates to additionally monitor?
  - Notification after new (possibly relevant) vulnerability is found
  - Analyze impact of vulnerability (e.g., ROCA case)
- Vendors of certified products
  - Are we under/over certifying with respect to competition?
  - Who is certifying products of our type and what were requirements in past?
- Certification bodies
  - Performance of labs, suspiciously short validity, non-standard cert. claims...
  - Impact of certification requirements (SARs) on the actual security



## Users of the sec-certs.org tool

- Certification laboratories
  - Are we comparable with other laboratories? What are the trends?
- Government agencies & corporations
  - Processing additional non-public documents
  - Attaching additional metadata (test results, powertrace...) and its governance
    - Generate sec-certs “web” locally with additional information
- General public
  - Easy access to information (interactive webpage, info from multiple sources...)
  - Ecosystem insights: What is standardized? Change in time?

## sec-certs.org as other metadata aggregator

... any future metadata overlay

RSA/ECC keys analysis

ECTester ecc analysis

Power traces (SCRUTINY)

TPMAIlgTest

JCAIlgTest performance results

TRNG randomness assessment

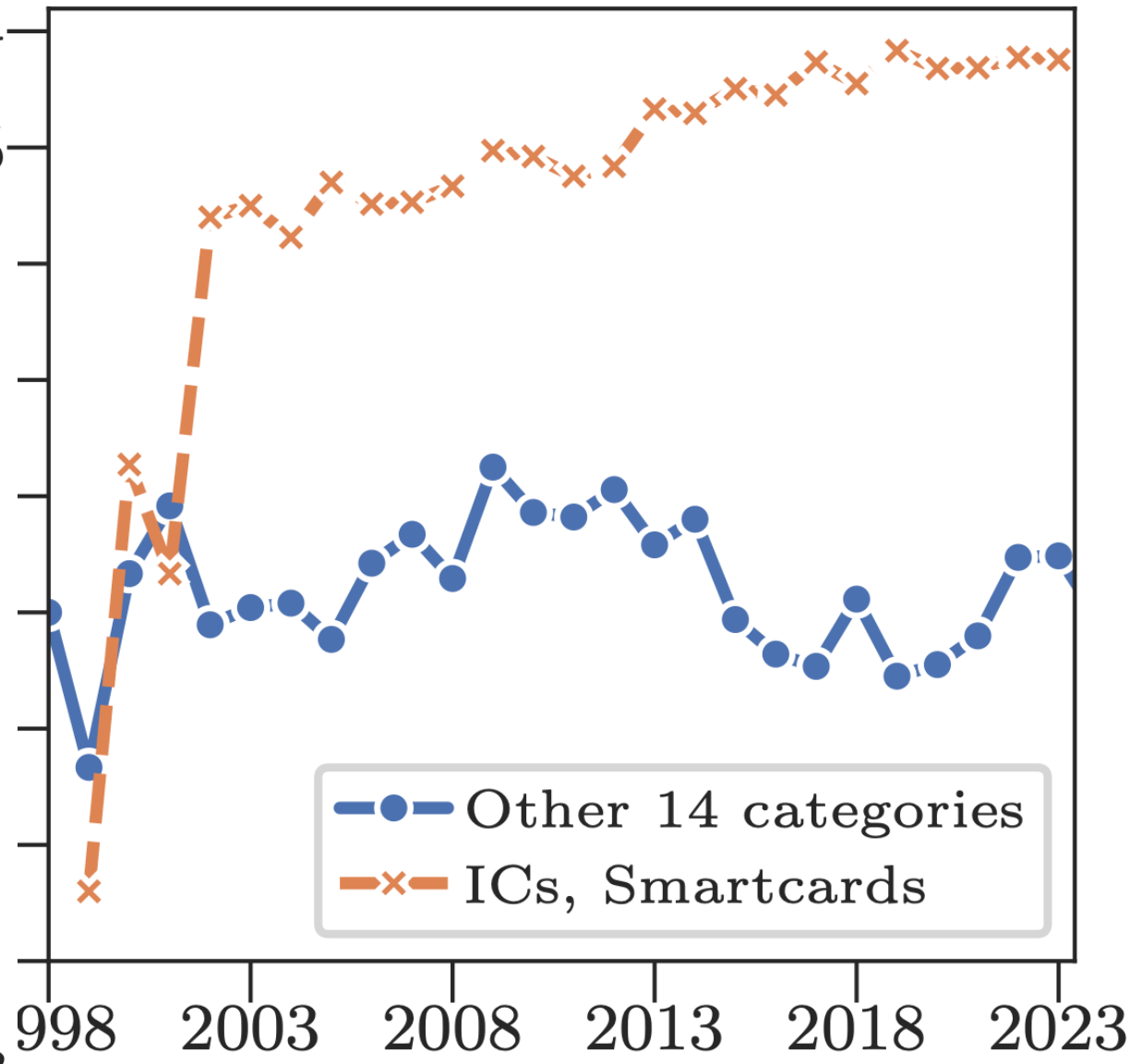
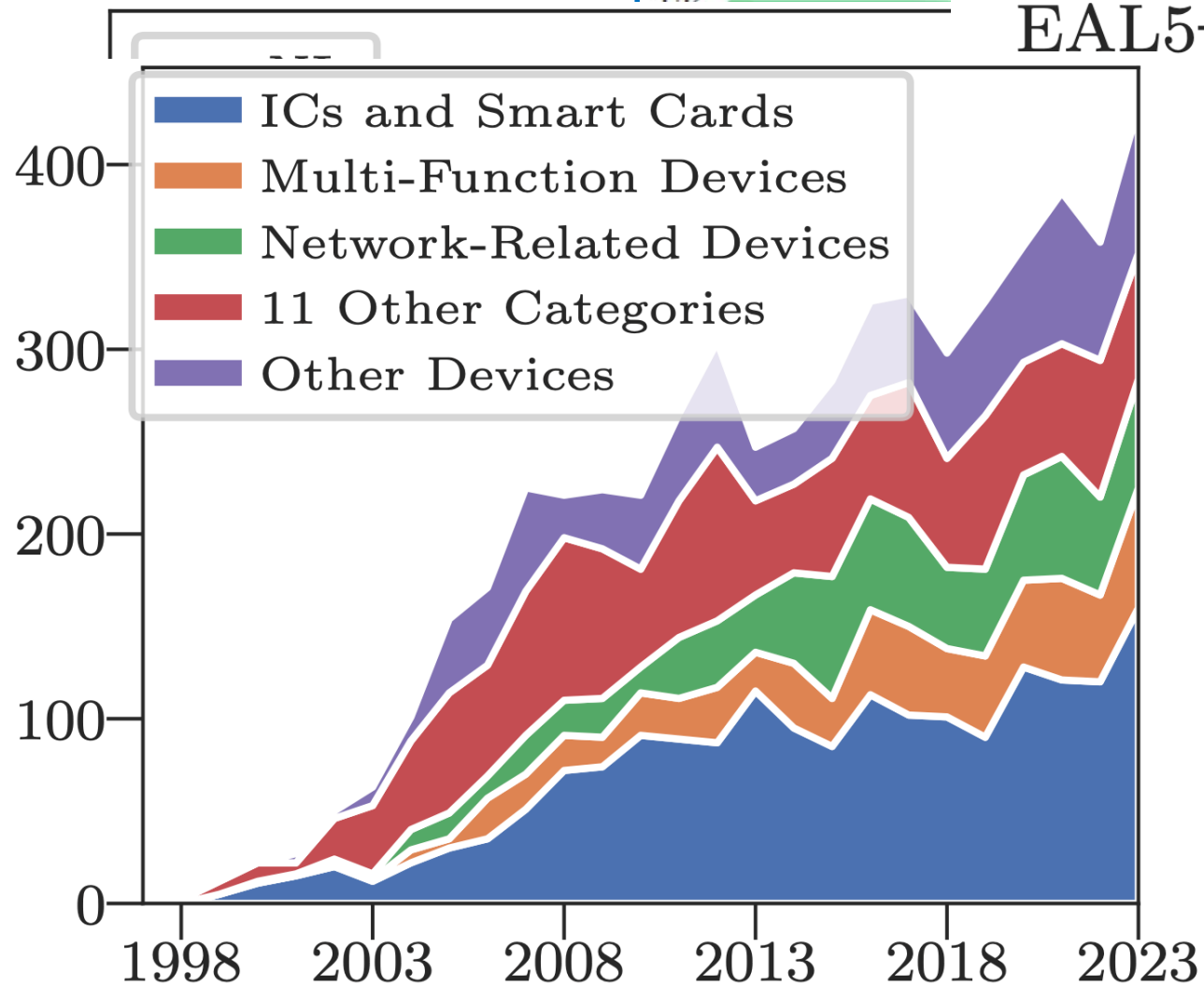
CVE database

Certified items from Common Criteria,  
FIPS 140, EUCC, EMVCo...

# VARIOUS ECOSYSTEM INSIGHTS



US EAL5+  
 CA  
 AU  
 ...

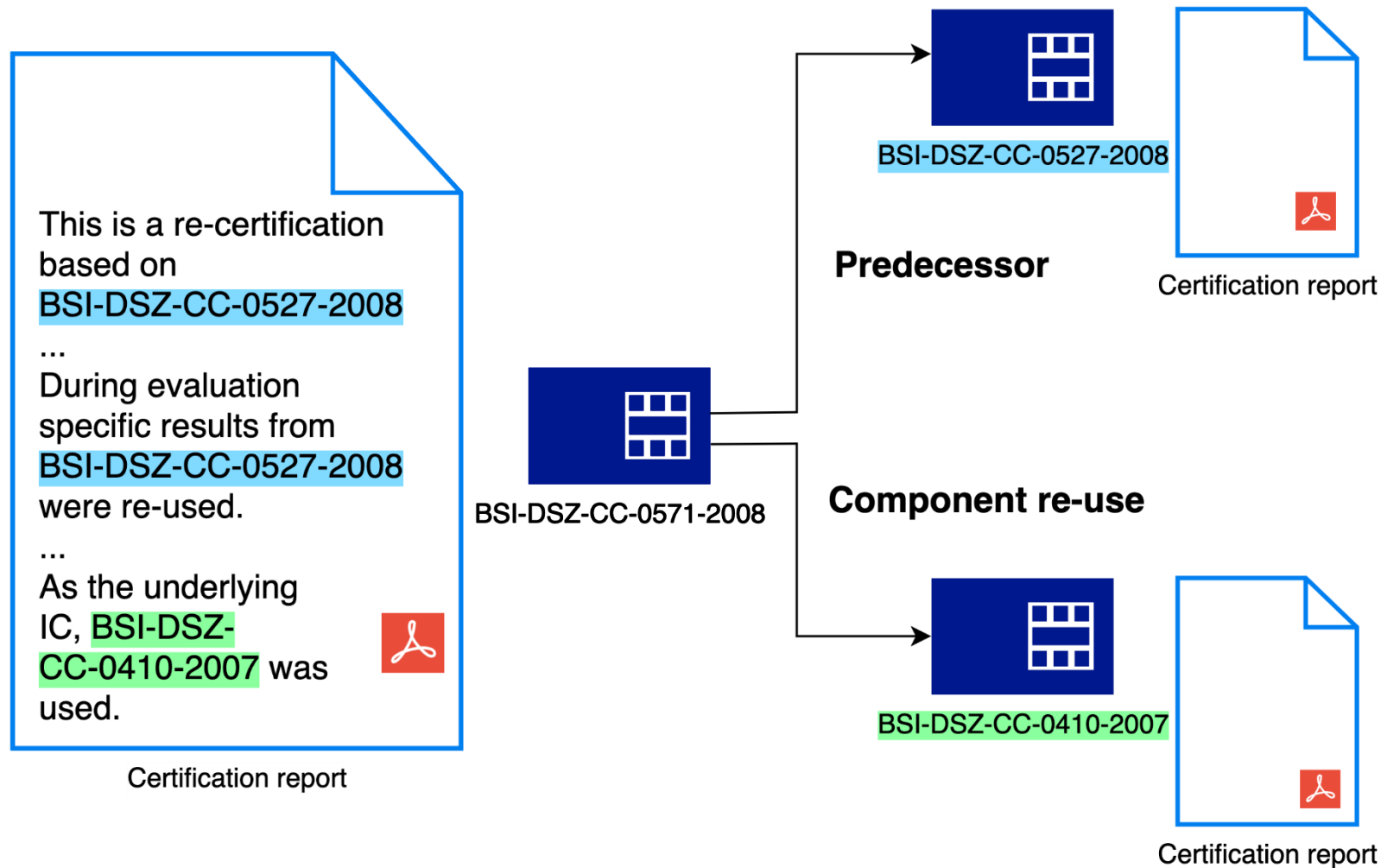


**REFERENCES, REFERENCES, REFER...**

## Building the reference graph

- Each device is a **vertex**.
- A reference from device A to device B is a **directed edge**.
  - The reference is indicated by the presence of a foreign certificate ID within the artifacts.
- The categorical context of the reference, e.g. `COMPONENT\_USED`, is an **edge label**.
- We worked with 5780 vertices and 3007 edges.

# Building the reference graph

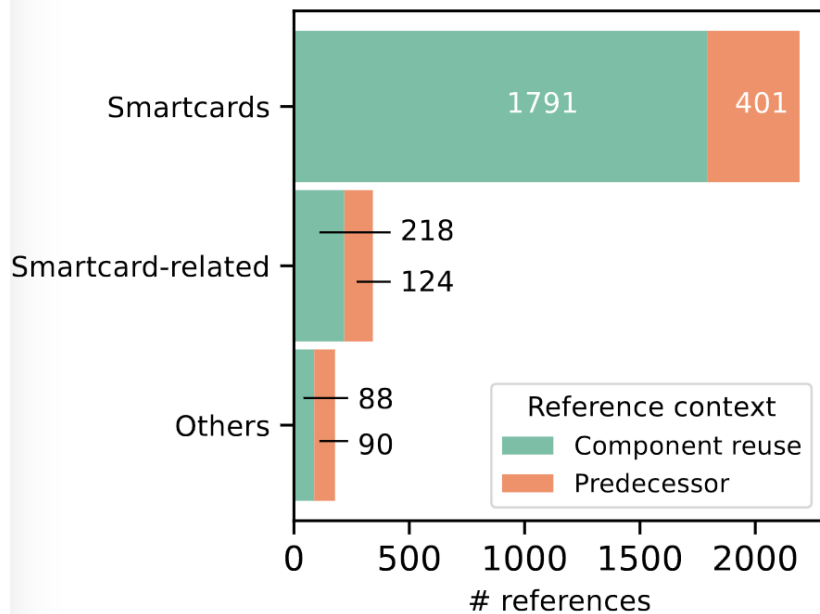


## Inferring reference contexts

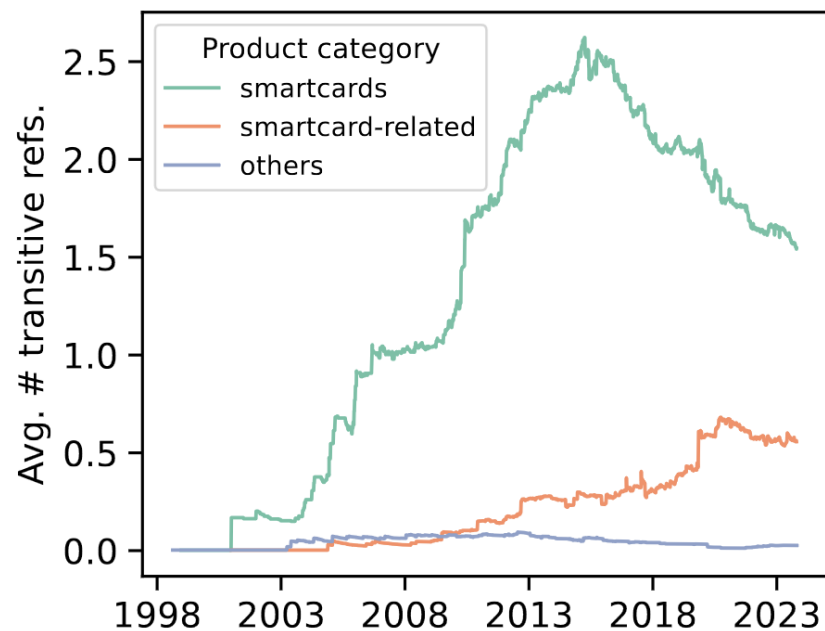
- Two major contexts: `COMPONENT\_REUSE` & `PREDECESSOR`
  - Component used (C)
  - Component shared (C)
  - Evaluation reused (C)
  - Re-evaluation (P)
  - Previous version (P)
- *75% of references constitute real dependencies, 25% are predecessor references.*



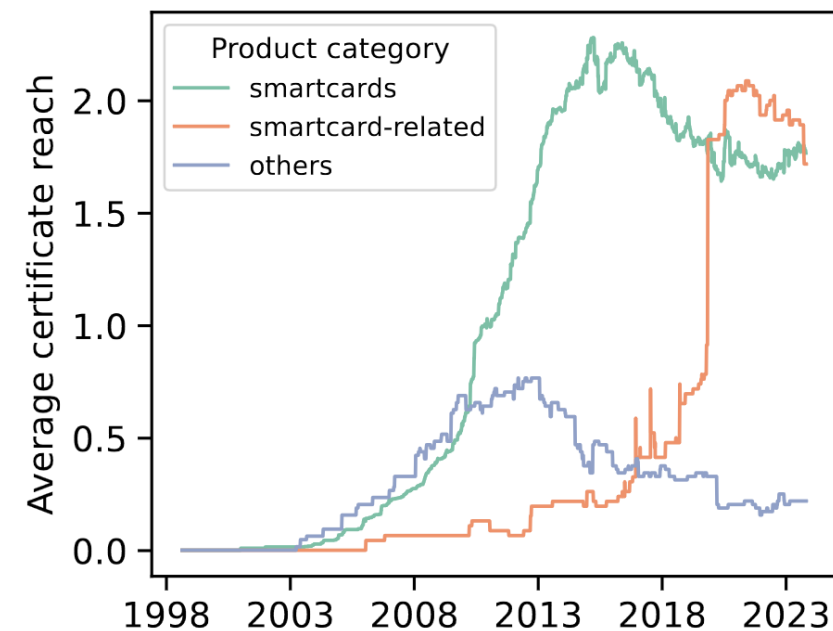
# Ecosystem trends



(a) Reference context freq.



(b) Avg. # trans. refs



(c) Average product reach

## Few major observations from reference analyses

- Top-10 products are used in 16% of all active smartcards.
  - These are microcontrollers, typically with cryptographic functionality.
- Higher reach is positively associated with higher evaluation assurance level.
- A vulnerability in cryptographic functionality would spread from high-reach devices to approx. 70% of their dependents.
  - Affecting 50+ certified products, RoCA was not an outlier.

## In a nutshell

- We have developed a pipeline for automated processing of Common Criteria artifacts.
  - We also cover FIPS 140 and NVD vulnerability DB.
  - Mapping of dependencies among certificates
  - Continuous insights into certification ecosystem
  - Support for more transparency in security certifications
- The analysis is tedious due to artefacts *produced by humans and meant to be consumed by humans.*

## Come and play – with sec-certs!



<https://sec-certs.org/>

**Thank you for your attention!**



Cyber-security Excellence Hub in  
Estonia and South Moravia

