

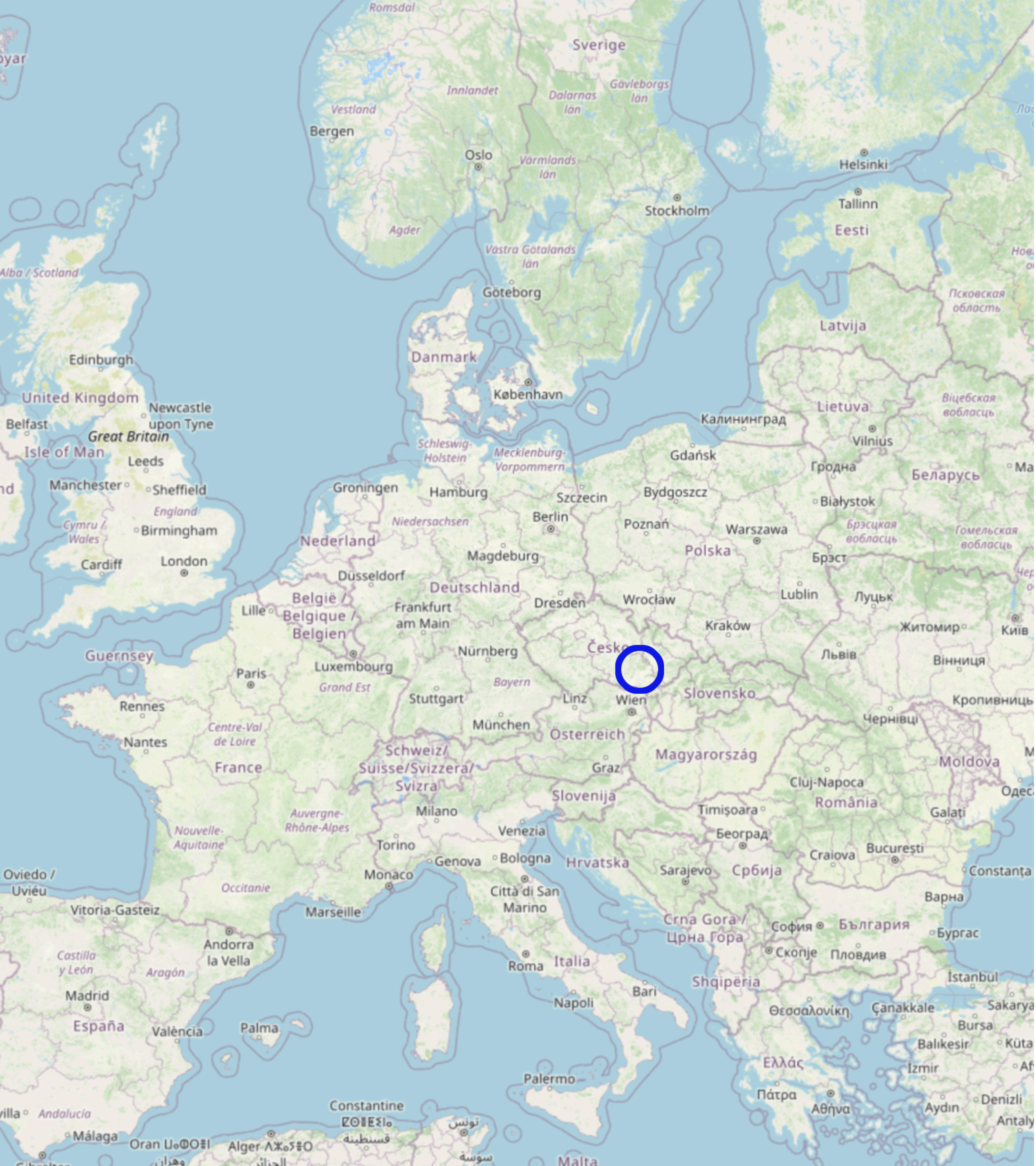
**M U N I**

**F I**

# **Insights from Automated Large-Scale Analysis of FIPS 140 Certificates**

**International Cryptographic Module Conference  
San Jose, 2024**

Adam Janovsky | [adamjanovsky@mail.muni.cz](mailto:adamjanovsky@mail.muni.cz)



M U N I

CRCS

Centre for Research on  
Cryptography and Security

# The Return of Coppersmith's Attack: Practical Factorization of Widely Used RSA Moduli\*

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**What (if any) certified devices are affected?**

**[sec-certs.org](https://sec-certs.org)**

# Search

Search and browse through certificates and extracted metadata.

# Analysis

View our analysis of the certification landscape.

# References

Explore interactive reference graph of FIPS-certified devices.

# Vulnerabilities

Display existing vulnerabilities in certified products.

# Cryptographic Module for Intel® Converged Security and Manageability Engine (CSME)

## Certificate #4158

### Webpage information ?

<b>Status</b>	<input checked="" type="checkbox"/> active
<b>Validation dates</b>	17.02.2022 , 21.08.2023
<b>Sunset date</b>	21-09-2026
<b>Standard</b>	FIPS 140-2
<b>Security level</b>	1
<b>Type</b>	≡ Firmware-Hybrid
<b>Embodiment</b>	Multi-Chip Stand Alone
<b>Caveat</b>	When operated in FIPS mode
<b>Description</b>	The Cryptographic Module for Intel® Converged Security and Manageability Engine(CSME) (hereafter referred to as 'the module') is classified as a multiple-chip standalone firmware-hybrid module for FIPS 140-2 purpose. The module consists of both hardware and firmware. The hardware portion is the Converged Security Engine (CSE) and the firmware portion is the crypto driver process of the Manageability Engine (ME). The two portions form the logical cryptographic boundary and they combine as Converged Security and Manageability Engine (CSME) to perform cryptographic functions within the Cannon Point PCH applications executing on the CSME.
<b>Version (Hardware)</b>	3.0
<b>Version (Firmware)</b>	2.5 and 2.6
<b>Tested configurations</b>	<ul style="list-style-type: none"><li>• embedded IA-32 dedicated to support the functionality of the CSME firmware version 12.0.70.1652 running on Cannon Point PCH with Intel Whiskey Lake with device firmware version 12.0.70.1652</li><li>• embedded IA-32 dedicated to support the functionality of the CSME firmware version 12.0.70.1652 running on Intel Cannon Point PCH with Intel Coffee Lake with device firmware version 12.0.70.1652</li></ul>
<b>Vendor</b>	Intel Corporation
<b>References</b>	This certificate's webpage directly references 0 certificates, transitively this expands into 0 certificates.

[Certificate](#)[Webpage](#)

# Security policy



Security target

PDF

TXT

[Cryptography](#) ^

## Symmetric Algorithms

AES, AES-256, RC4, TDEA, SM4, HMAC, HMAC-SHA-256, HMAC-SHA-512, CMAC

## Asymmetric Algorithms

RSA-OAEP, ECDH, ECDSA, ECC, Diffie-Hellman, DH

## Hash functions

SHA-1, SHA-256, SHA-224, SHA-384, SHA-512, MD5, PBKDF

## Schemes

MAC, Key Agreement

## Randomness

TRNG, DRBG

## Elliptic Curves

P-256, P-384, P-224, P-521, NIST P-224

## Block cipher modes

ECB, CBC, CTR, OFB, GCM

[Device](#) v

[Security](#) v

[Other](#) v

# Updates

- 04.07.2024 The certificate data changed. [+ Show diff](#)

## Certificate changed

The web extraction data was updated.

- The module\_type property was set to **Firmware-Hybrid**.

- 18.09.2023 The certificate data changed. [+ Show diff](#)

## Certificate changed

The web extraction data was updated.

- The validation\_history property was updated, with the `[[1, {'_type': 'sec_certs.sample.fips.FIPSCertificate.ValidationHistoryEntry', 'date': '2023-08-21', 'validation_type': 'Update', 'lab': 'ATSEC INFORMATION SECURITY CORP'}]]` values inserted.
- The fw\_versions property was set to **2.5** and **2.6**.

The PDF extraction data was updated.

- The keywords property was updated, with the `{'fips_certlike': {'__update__': {'Certlike': {'__delete__': ['AES1']}}}}` data.
- The policy\_metadata property was updated, with the `{'pdf_file_size_bytes': 611387, '/Title': 'FIPS 140-2 Non-Proprietary Security Policy', '/Creator': 'Microsoft Word', '/CreationDate': "D:20230710181745+00'00'", '/ModDate': "D:20230710181745+00'00'", 'pdf_hyperlinks': {'_type': 'Set', 'elements': ['https://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-56b.pdf', 'https://platformsw.intel.com/']}}` data.

The computed heuristics were updated.

- The extracted\_versions property was updated, with the `{'_type': 'Set', 'elements': ['2.5', '2.6']}` values added.

The state was updated.

- The policy\_pdf\_hash property was set to **4b0fb0ed8154ed1da2eb798d6db3986662a35d7e7ce08815c5ff1c4a6ca0987d**.
- The policy\_txt\_hash property was set to **fea13655d938d42ffd4fb1ffc91d18bdf64dfe0e070668eca893d3deb4b8422f**.



# Full-text search

- Full-text search over certification artifacts.
- Can be used to mine suspicious phrases.
- Great for assessing vulnerability impact 👍.

## EUCLEAK

Side-Channel Attack on the YubiKey 5 Series

(Revealing and Breaking Infineon ECDSA Implementation on the Way)

Thomas ROCHE

All of these CC certificates are public and come along with public security target and certification report documents that contains valuable information about the chip and firmware versions. Furthermore, the BSI has a quite nice database search engine <sup>5</sup> that helps in finding the different documents. We also must mention the great SEC-CERTS initiative [13, 12] that ended up in a powerful CC documents search engine <sup>6</sup>.

## FIPS 140

### Fulltext search

#### Query

hard-coded in the module


Search

Display network

Advanced options

Search certificate reports and security policies. Supports the [Whoosh query language](#).

Took 0.227 seconds  
found 2 records, displaying 1 - 20

	<a href="#">Aviat Networks Eclipse Cryptographic Module</a>	Security Policy	<input checked="" type="checkbox"/> active
#4187			
<p>Integrity key A fixed and <b>hard coded</b> key used in the firmware load conditional</p> <p>The cryptographic boundary of the <b>module</b> is the hardware chassis. The <b>module</b> is a hardware <b>module</b> with firmware running on the NCC card within</p> <p>the firmware loads the new image into <b>module</b> and reboots the <b>module</b> to allow the new firmware to become operational</p>			

# sec-certs Python API

```
dataset = FIPSDataset.from_web_latest()
print(f"The loaded FIPSDataset contains {len(dataset)} certificates")

>>> Downloading FIPS Dataset: 60.5MB [00:37, 1.69MB/s]
>>> The loaded FIPSDataset contains 4758 certificates
```

```
df = dataset.to_pandas()

# Get only certs from the last three years
last_three_years = df.loc[df.date_validation > pd.Timestamp("2021-01-01")]
print(f"Number of certs since 2021-01-01: {last_three_years.shape[0]}")

# Get only the FIPS 140-3 certs
fips_140_3 = df.loc[df.standard == "FIPS 140-3"]
print(f"Number of FIPS 140-3 certs: {fips_140_3.shape[0]}")

# Show statistics about security levels
df.level.describe()

>>> Number of certs since 2021-01-01: 977
>>> Number of FIPS 140-3 certs: 79

>>> count      4757.000000
>>> mean        1.667858
>>> std         0.733841
>>> min         1.000000
>>> 25%         1.000000
>>> 50%         2.000000
>>> 75%         2.000000
>>> max         4.000000
```

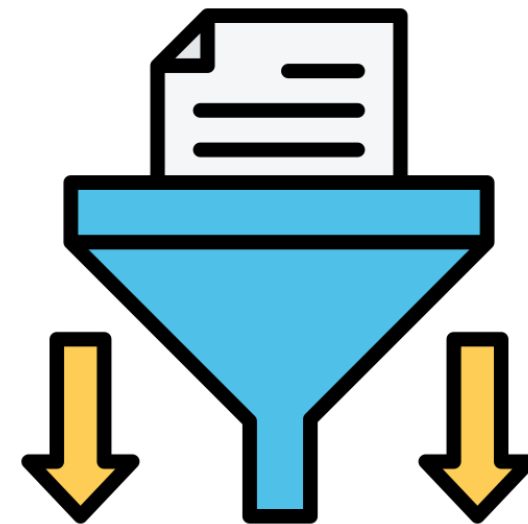
# Processing FIPS artifacts



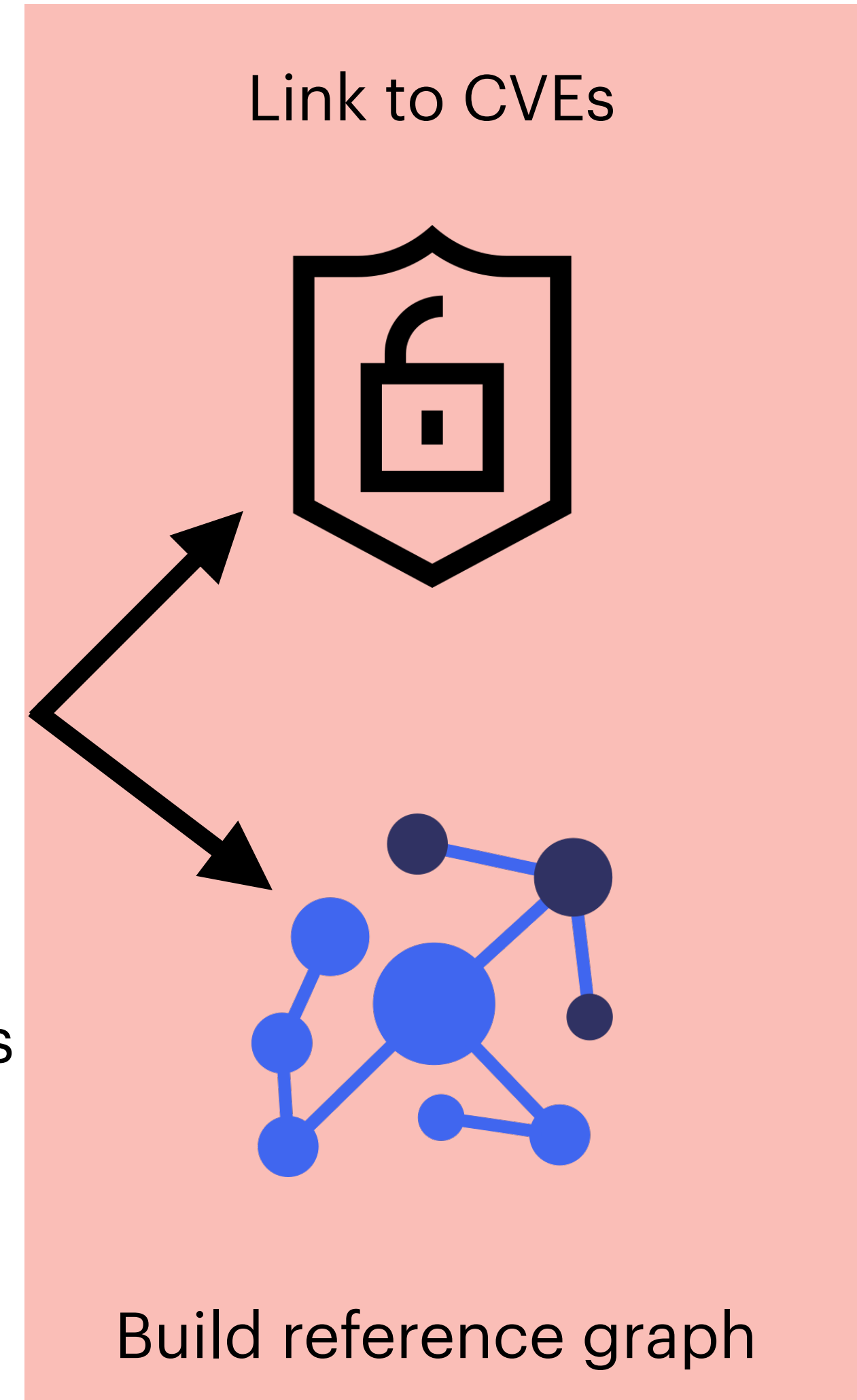
Download



Convert to text



Extract features

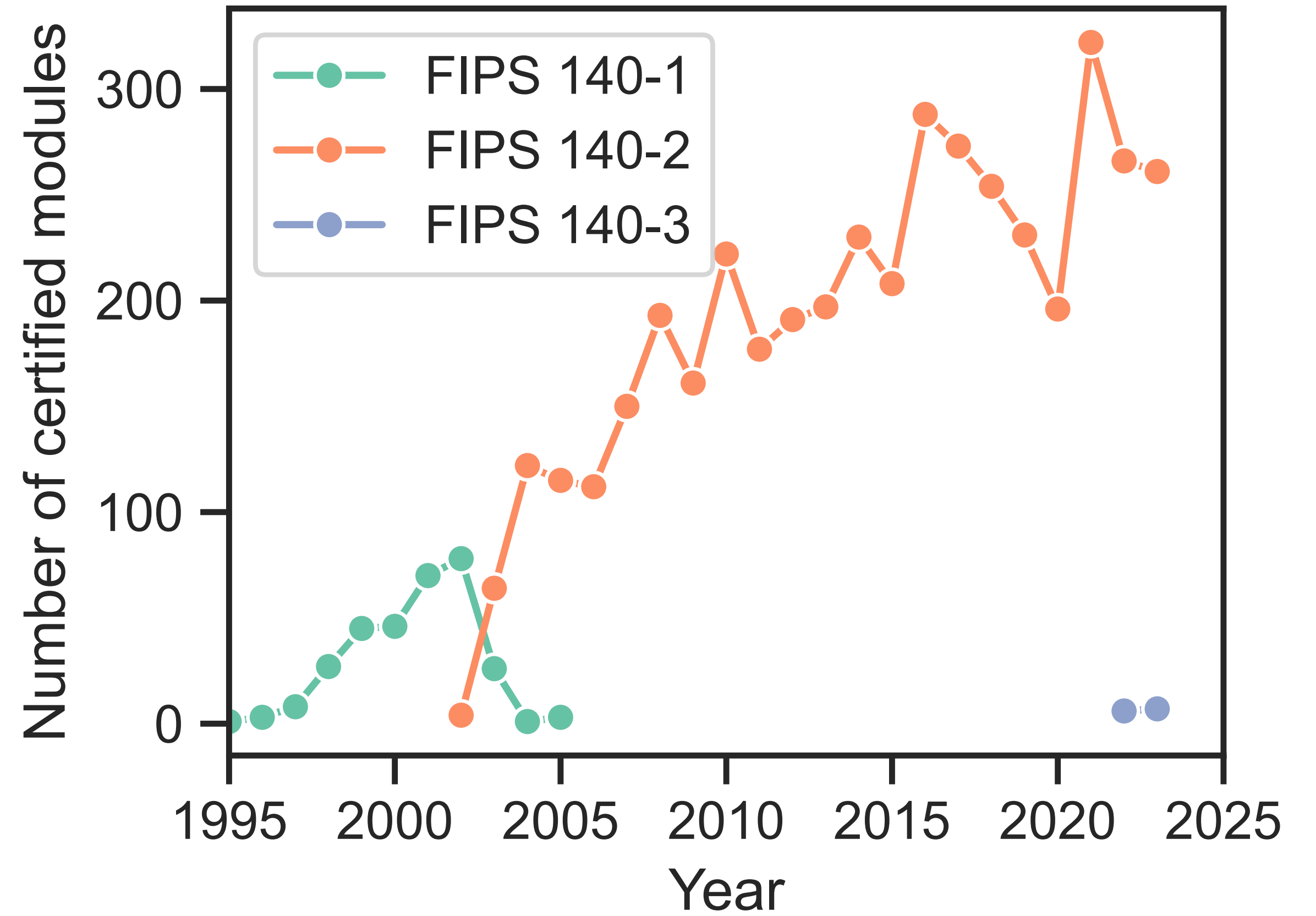


Link to CVEs

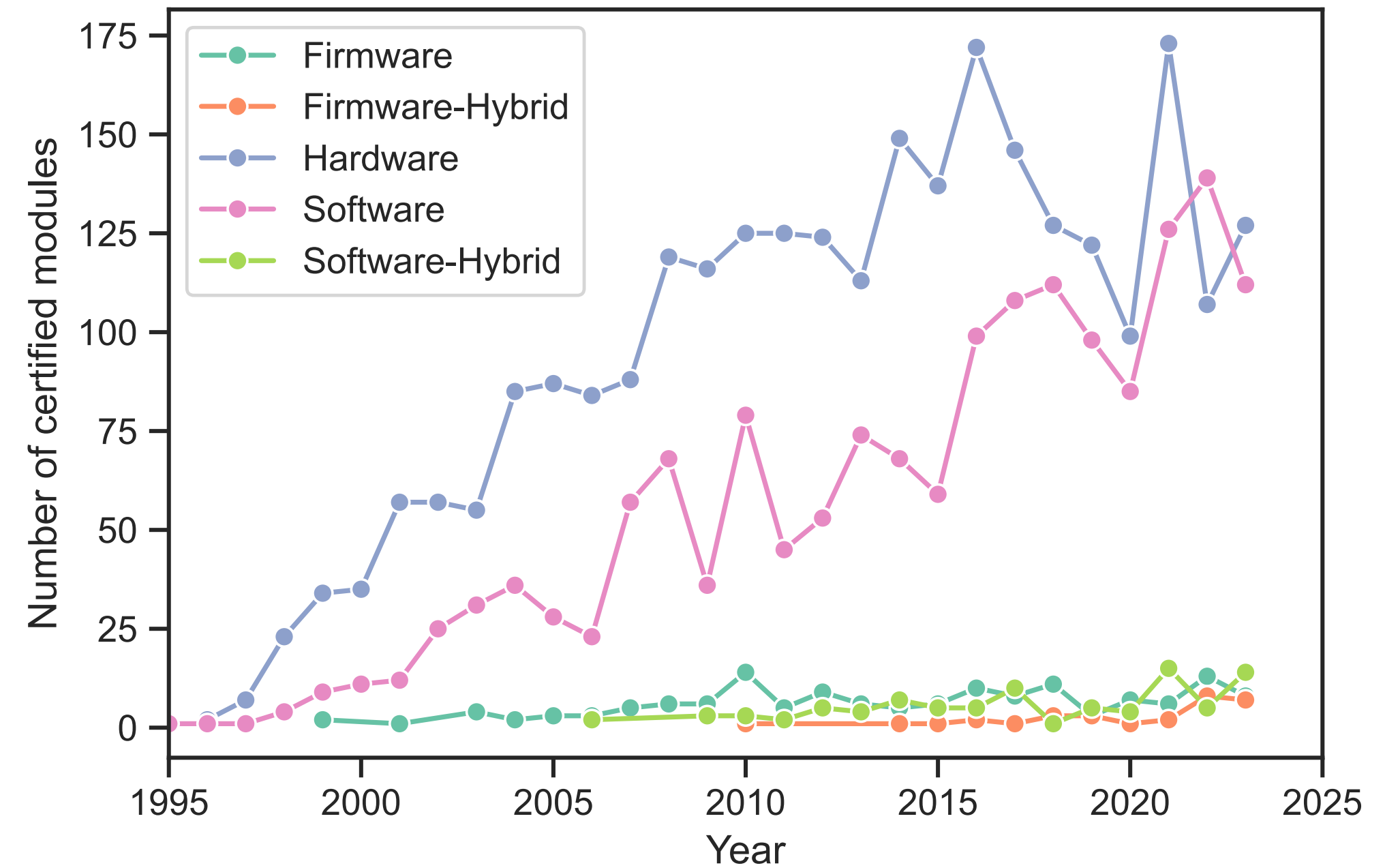
Build reference graph

**Ecosystem insights** 🚀

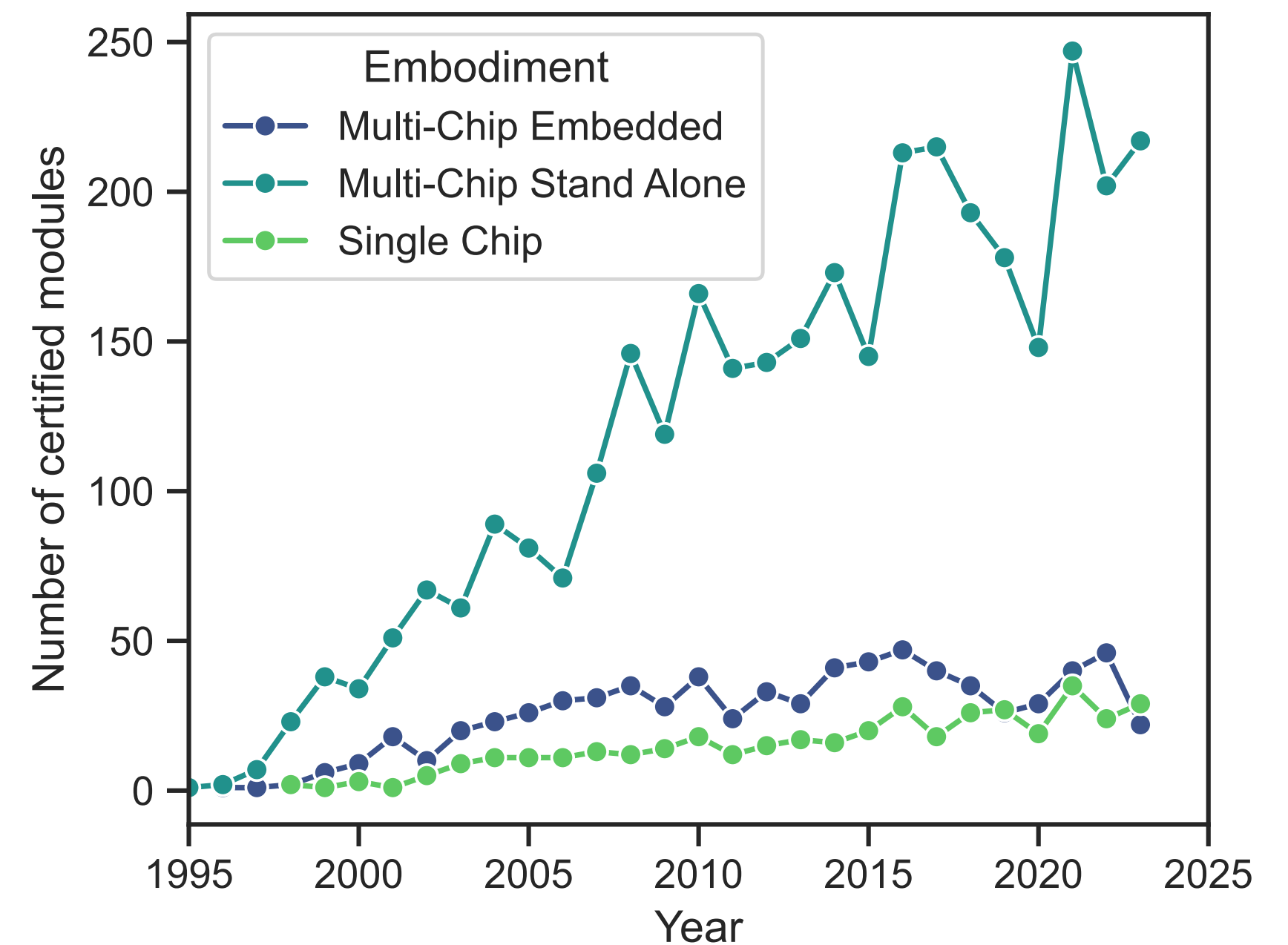
# Number of yearly certified modules



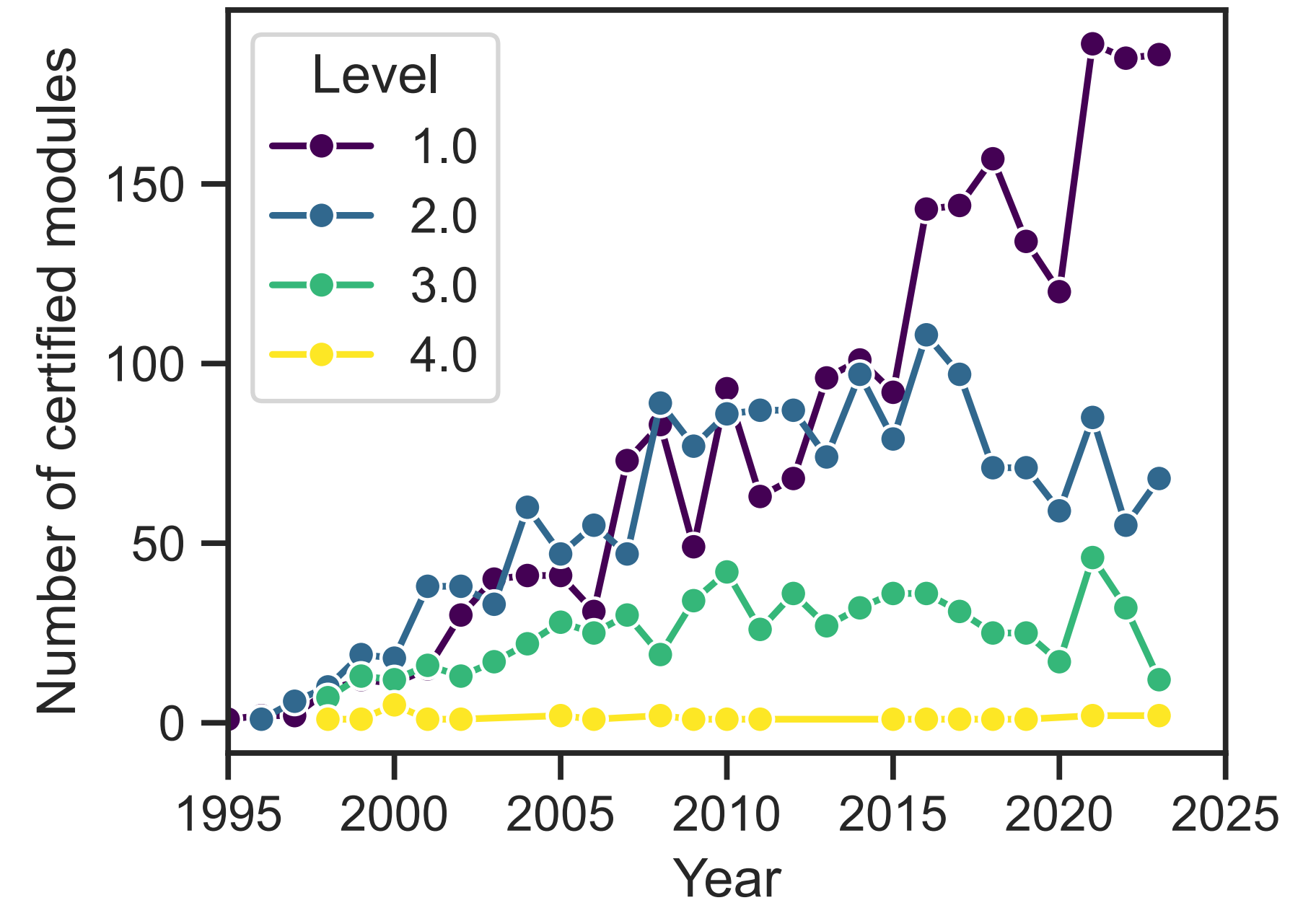
# Different module types



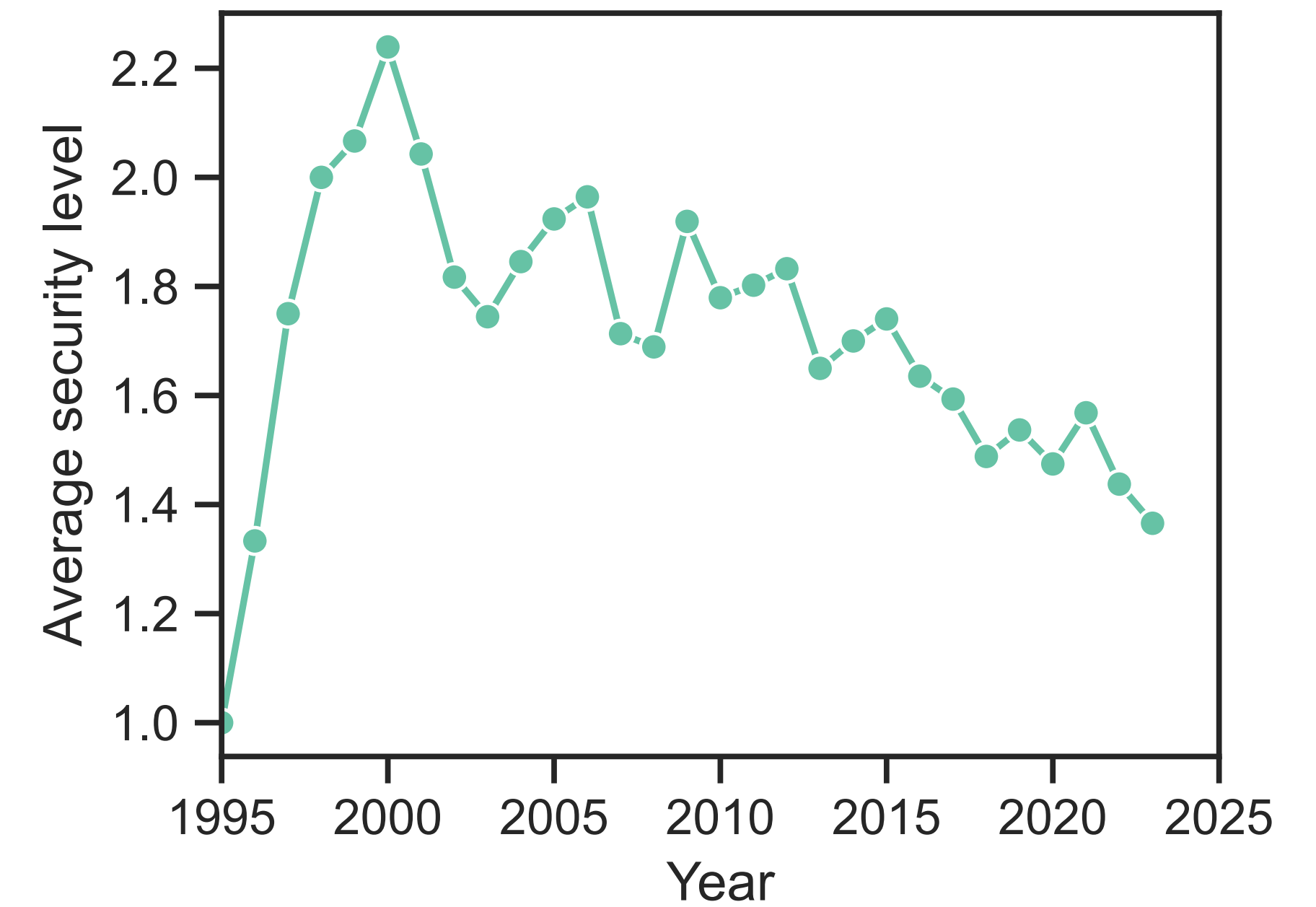
# Different embodiments



# Security levels popularity



# Average security level



# Certification progress monitoring

## Implementations under test (IUT)

*The IUT list is provided as a marketing service for vendors who have a viable contract with an accredited laboratory for the testing of cryptographic module.*

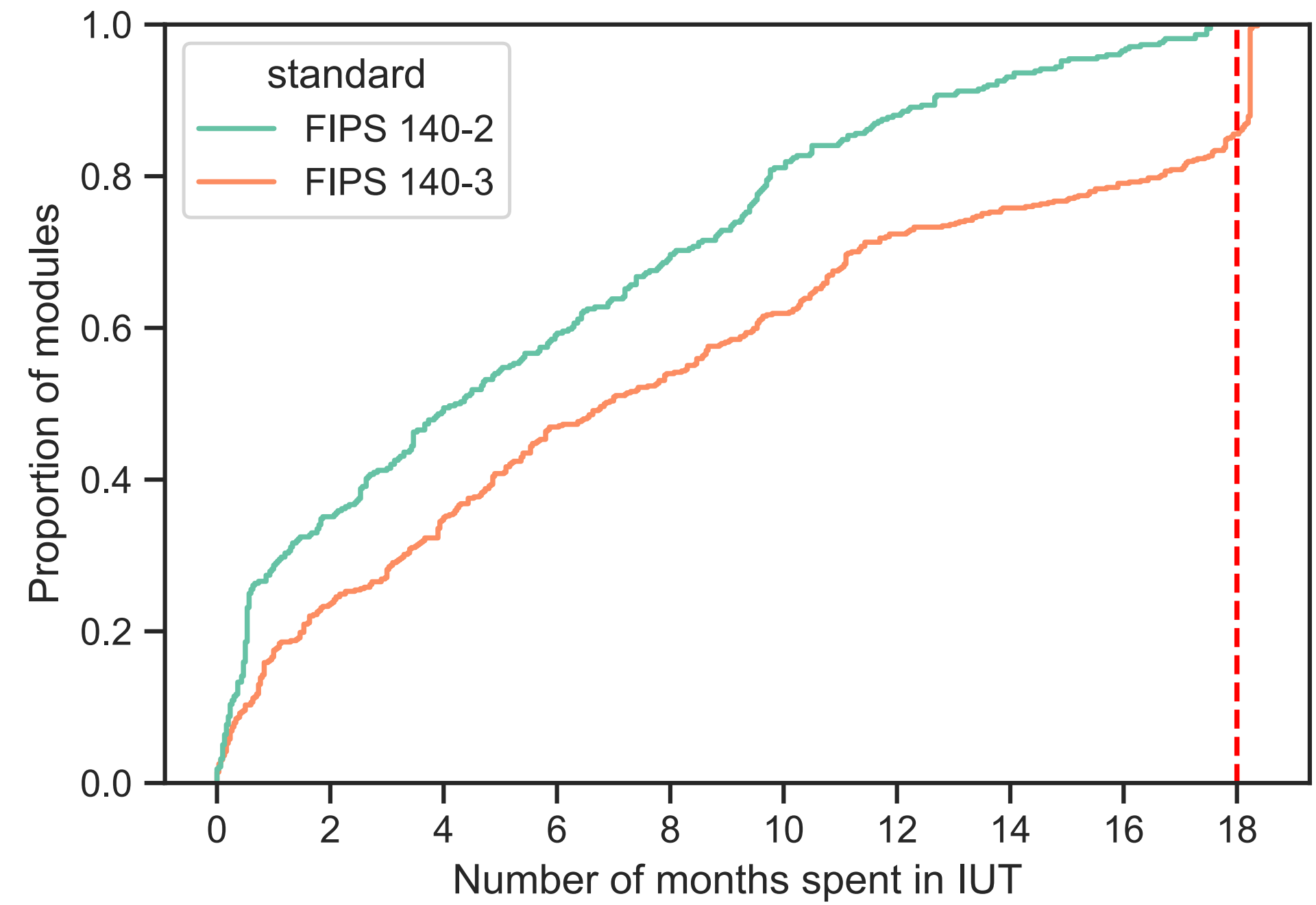
## Modules in process (MIP)

*The MIP list contains cryptographic modules on which the CMVP is actively working.*

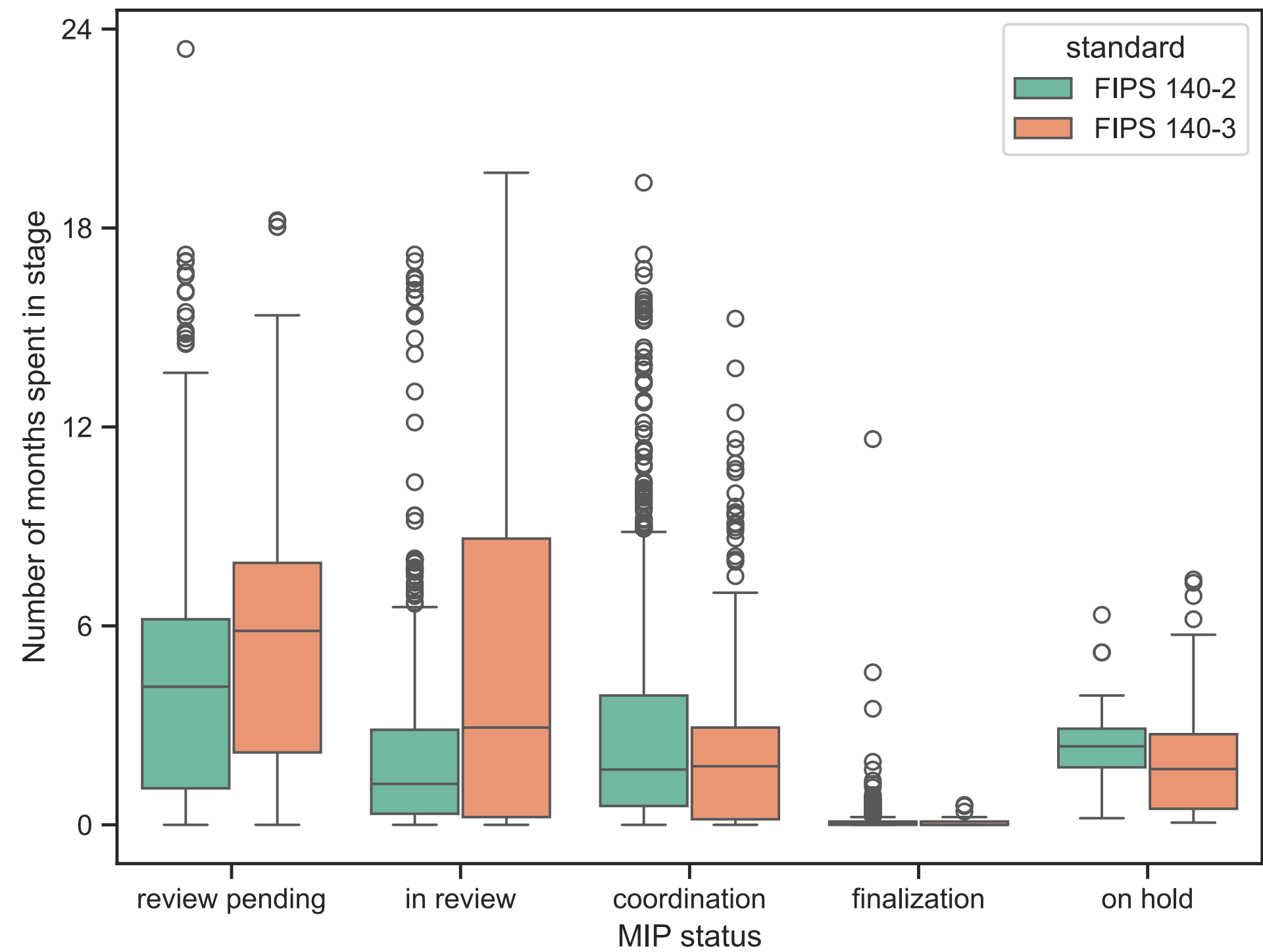
- On hold
- Review pending
- In Review
- Coordination
- Finalization



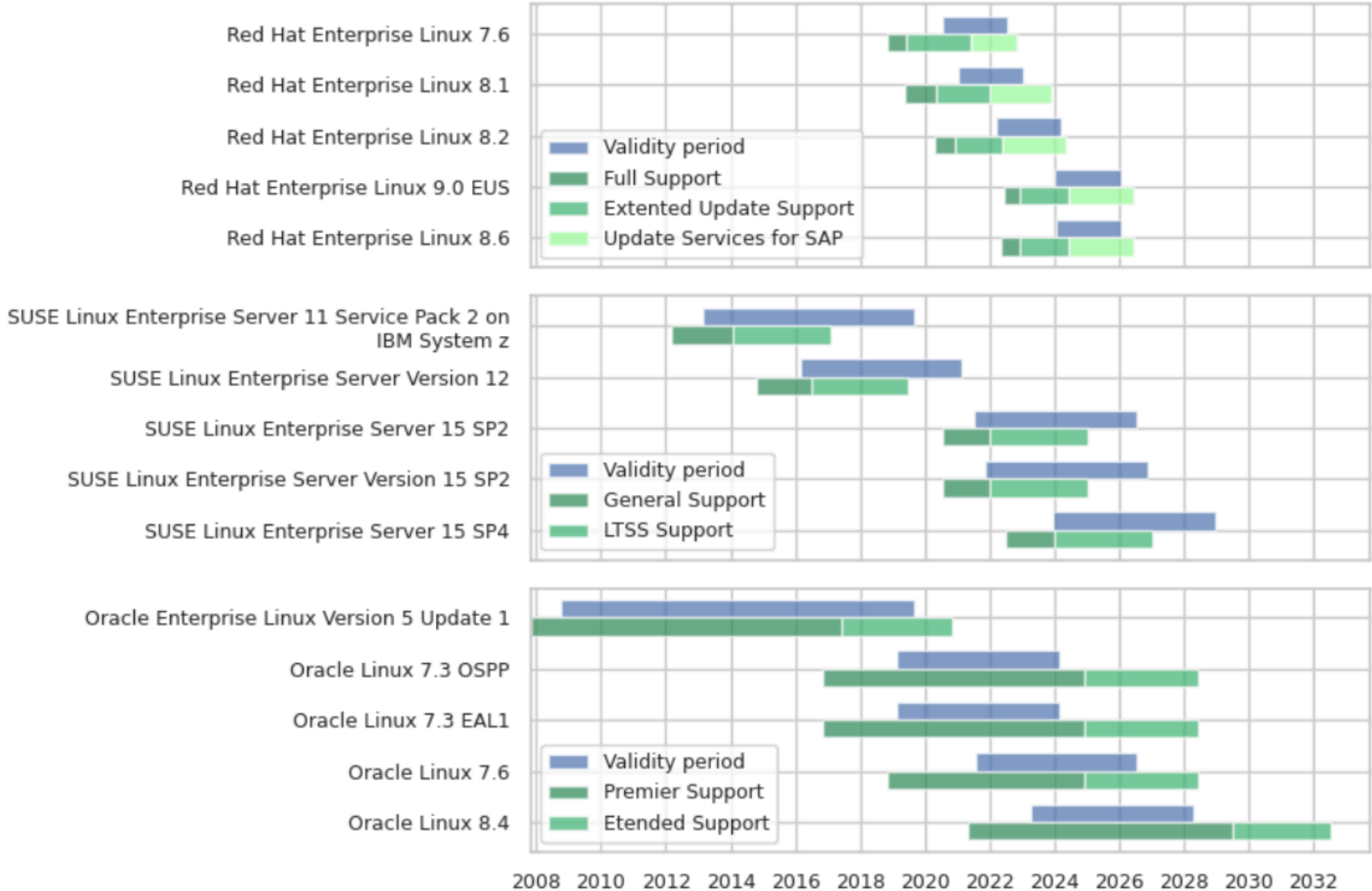
# Time spent in IUT



# Time spent in MIP stages



# CC not doing much better...



# ~~Future work~~

What we're failing at 🙄

# Matching certified products to CVEs

- 💡 Link certified modules to *published* vulnerabilities they suffer from.
- Each CVE lists affected configurations (CPEs).
- TPM-Fail CVE: `cpe:2.3:h:st:st33tphf2espi:-:*:*:*:*:*:*:*`
- FIPS module: Trusted Platform Module ST33TPHF2ESPI & ST33TPHF2EI2C
  - But, with different firmware 😓.

## Configuration 2 ([hide](#))

🔗 `cpe:2.3:o:st:st33tphf2ei2c_firmware:73.5:*:*:*:*:*`

[Show Matching CPE\(s\)](#)▼

🔗 `cpe:2.3:o:st:st33tphf2ei2c_firmware:73.9:*:*:*:*:*`

[Show Matching CPE\(s\)](#)▼

### Running on/with

`cpe:2.3:h:st:st33tphf2ei2c:-:*:*:*:*`

[Show Matching CPE\(s\)](#)▼



## TPM-FAIL

TPM MEETS TIMING AND LATTICE ATTACKS

[↓ DOWNLOAD PAPER](#)

[↓ CITE PAPER](#)

# Hunting exploitable misconfigurations

- Cryptographic-primitive misconfiguration can lead to exploitable vulnerability.
- 💡 Configuration is conveyed by security policy doc.

## Example: misconfigured X9.31 PRNG

### Practical state recovery attacks against legacy RNG implementations

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Nadia Heninger  
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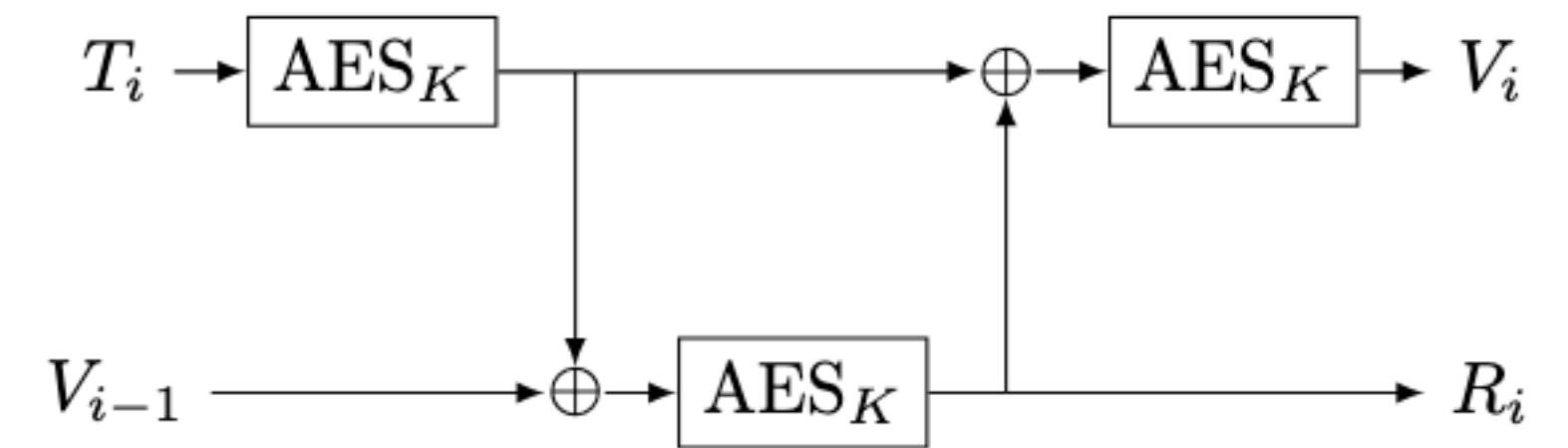


Figure 1: Each iteration of the ANSI X9.31 PRG generation function (G) inputs a timestamp  $T_i$  and a seed  $V_{i-1}$  and produces an output block  $R_i$  and a new seed  $V_i$ .

### 3 FIPS AND HARDCODED X9.31 KEYS

As discussed in Section 2.2, the NIST design description for the X9.31 random number generator [40] **does not specify how the block cipher key should be generated or stored.** However, vendors who wish to obtain FIPS certification are required to produce a detailed public “security policy” document describing their cryptographic implementations and key management procedures. We performed a systematic study of the security policies for products certified for the X9.31 PRG to understand how many vendors publicly documented a potential hard-coded key vulnerability. We obtained the list of certified devices from the NIST web site [52].

# Hunting exploitable misconfigurations



security\_policy.pdf

PDF

Does the cryptographic module specified in the attached security policy PDF include ANSI X9.31 PRNG?



Yes, the cryptographic module specified in the security policy PDF includes the ANSI X9.31 PRNG, implemented with 3-Key Triple-DES ([security\\_policy](#)).

# Hunting exploitable misconfigurations

Which underlying cryptographic primitive is used in this particular instance of X9.31? 3-DES or AES?



The ANSI X9.31 PRNG in this instance uses 3-Key Triple-DES as the underlying cryptographic primitive ([security\\_policy](#)).

# Hunting exploitable misconfigurations

How is the secret key for the underlying 3-DES instance of the X9.31 algorithm generated and stored in this module?



The secret key for the 3-Key Triple-DES instance of the ANSI X9.31 algorithm (referred to as the RNG seed key) is generated and stored in the module as follows:

- **Generation:** The RNG seed key is generated during the manufacturing process and is stored securely within the module.
- **Storage:** The RNG seed key is stored as part of the module's Critical Security Parameters (CSPs) in a secure, non-volatile memory. It is loaded into the module at the factory and is not modifiable by any external entity ([security\\_policy](#)).



# Hunting exploitable misconfigurations

Is a single key used for the lifetime of the module? Are the keys shared between different module instances?



- **Single Key Use:** Yes, a single RNG seed key is generated and stored for the lifetime of each module instance. The key is not updated or replaced during normal operation.
- **Key Sharing:** No, the keys are not shared between different module instances. Each module instance has its own unique RNG seed key generated and stored securely during manufacturing ([security\\_policy](#)).

# Building reference graph

- Dependency networks well studied in software packages.
- We investigated the dependency network in Common Criteria
- When vulnerability impacts the dependency, it may translate to the referencing product.

## **Small World with High Risks: A Study of Security Threats in the npm Ecosystem**

Markus Zimmermann and Cristian-Alexandru Staicu, *TU Darmstadt*; Cam Tenny, *r2c*;  
Michael Pradel, *TU Darmstadt*

## **An empirical comparison of dependency network evolution in seven software packaging ecosystems**

Alexandre Decan<sup>1</sup>  · Tom Mens<sup>1</sup> · Philippe Grosjean<sup>1</sup>

## **Chain of Trust: Unraveling References Among Common Criteria Certified Products**

Adam Janovsky<sup>(✉)</sup>, Lukasz Chmielewski, Petr Svenda, Jan Jancar,  
and Vashek Matyas

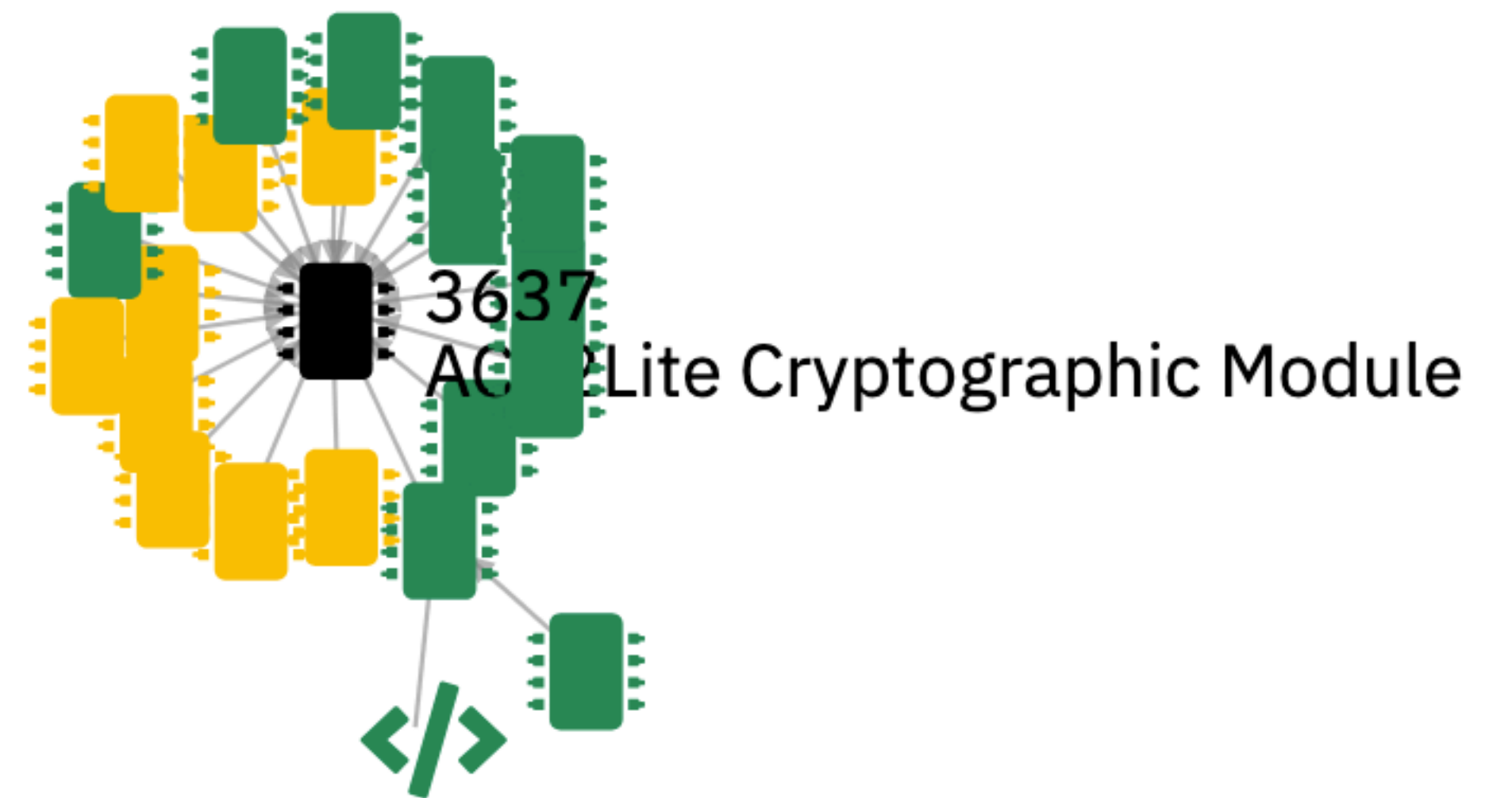
# Building reference graph

## Certificate #4424

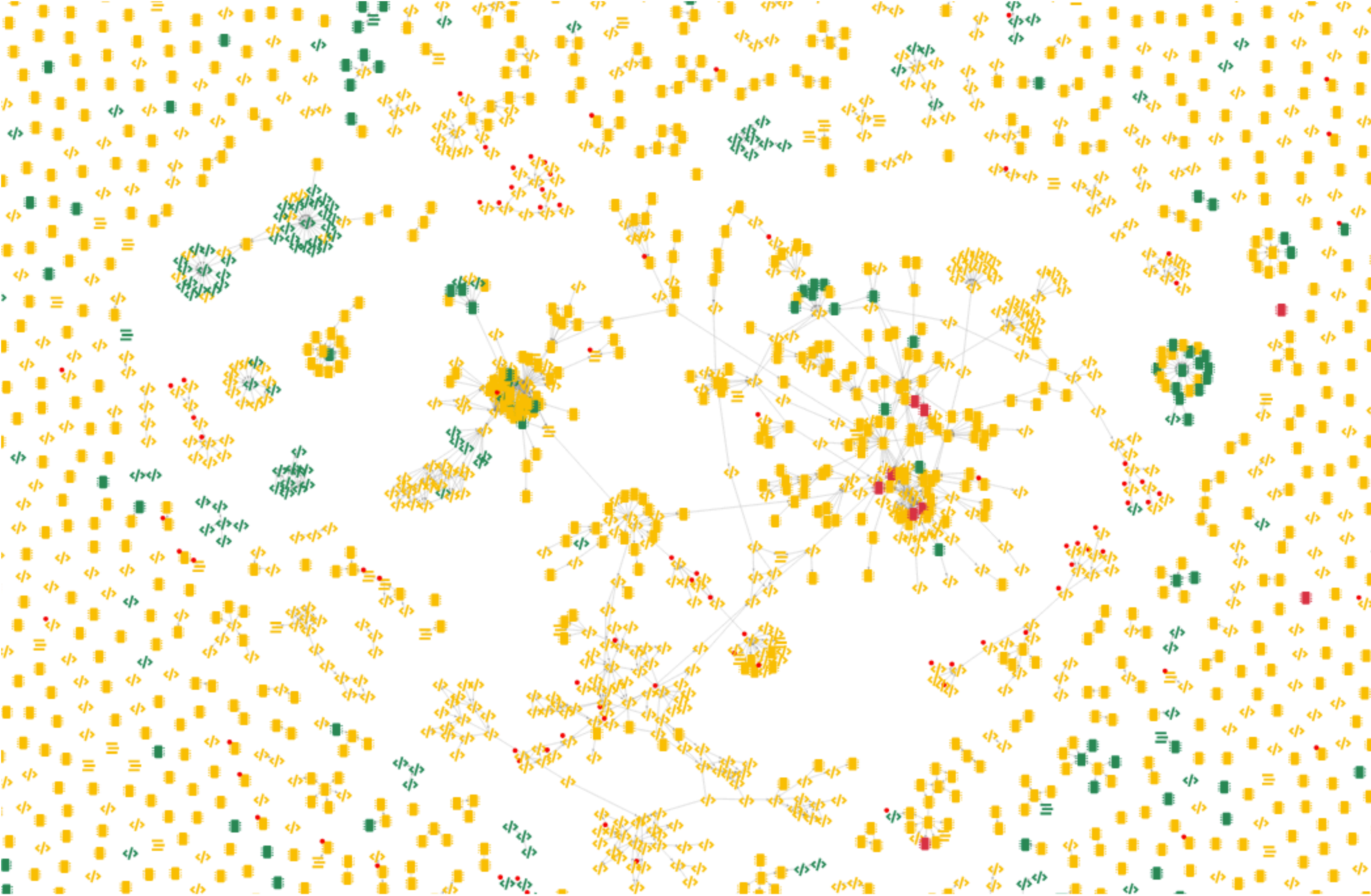
Details																							
Module Name	Cisco Catalyst 9800 (40/80) Wireless Controllers																						
Standard	FIPS 140-2																						
Status	Active																						
Sunset Date	9/21/2026																						
Overall Level	1																						
Caveat	<b>When operated in FIPS mode. This module contains the embedded module ACT2Lite validated to FIPS 140-2 under Cert. #3637 operating in FIPS mode.</b>																						
Security Level Exceptions	<ul style="list-style-type: none"><li>Roles, Services, and Authentication: Level 3</li><li>Mitigation of Other Attacks: N/A</li></ul>																						
Module Type	Hardware																						
Embodiment	Multi-Chip Stand Alone																						
Description	The Cisco Series Wireless Controllers, are a highly scalable and flexible platform that enables system-wide services for mission-critical wireless networking in medium-sized to large enterprises and campus environments.																						
Tested Configuration(s)	<ul style="list-style-type: none"><li>N/A</li></ul>																						
Approved Algorithms	<table><tbody><tr><td>AES</td><td>Certs. <a href="#">#2346</a>, <a href="#">#A877</a> and <a href="#">#A1462</a></td></tr><tr><td>CKG</td><td>vendor affirmed</td></tr><tr><td>CVL</td><td>Certs. <a href="#">#A877</a> and <a href="#">#A1462</a></td></tr><tr><td>DRBG</td><td>Certs. <a href="#">#A877</a> and <a href="#">#A1462</a></td></tr><tr><td>ECDSA</td><td>Certs. <a href="#">#A877</a> and <a href="#">#A1462</a></td></tr><tr><td>HMAC</td><td>Certs. <a href="#">#A877</a> and <a href="#">#A1462</a></td></tr><tr><td>KAS</td><td>KAS-SSC Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>, CVL Certs. <a href="#">#A877</a> and <a href="#">#A1462</a></td></tr><tr><td>KAS-SSC</td><td>Certs. <a href="#">#A877</a> and <a href="#">#A1462</a></td></tr><tr><td>KTS</td><td>AES Certs. <a href="#">#A877</a> and <a href="#">#A1462</a> and HMAC Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>; key establishment methodology provides between 128 and 256 bits of encryption strength</td></tr><tr><td>RSA</td><td>Certs. <a href="#">#A877</a> and <a href="#">#A1462</a></td></tr><tr><td>SHS</td><td>Certs. <a href="#">#2023</a>, <a href="#">#A877</a> and <a href="#">#A1462</a></td></tr></tbody></table>	AES	Certs. <a href="#">#2346</a> , <a href="#">#A877</a> and <a href="#">#A1462</a>	CKG	vendor affirmed	CVL	Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>	DRBG	Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>	ECDSA	Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>	HMAC	Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>	KAS	KAS-SSC Certs. <a href="#">#A877</a> and <a href="#">#A1462</a> , CVL Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>	KAS-SSC	Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>	KTS	AES Certs. <a href="#">#A877</a> and <a href="#">#A1462</a> and HMAC Certs. <a href="#">#A877</a> and <a href="#">#A1462</a> ; key establishment methodology provides between 128 and 256 bits of encryption strength	RSA	Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>	SHS	Certs. <a href="#">#2023</a> , <a href="#">#A877</a> and <a href="#">#A1462</a>
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ECDSA	Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>																						
HMAC	Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>																						
KAS	KAS-SSC Certs. <a href="#">#A877</a> and <a href="#">#A1462</a> , CVL Certs. <a href="#">#A877</a> and <a href="#">#A1462</a>																						
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SHS	Certs. <a href="#">#2023</a> , <a href="#">#A877</a> and <a href="#">#A1462</a>																						

# Building reference graph

- Turns out that ACT2Lite module is quite important one.
- It constitutes an important target for adversaries.
- It constitutes an important asset for vendors.



# Building reference graph



# Making certification artifacts machine-processable

- Efforts to automate cryptographic module validation program 🙌.
- NIST/Vendors: Assign robust module and algorithm identifiers.
- NIST/Vendors: Assign each module with CPE record.
- NIST: Replace security policy PDFs (with XML?)
- NIST/Vendors: Promote SBoMs (NIAP policy for Common Criteria) 🙌.
- NIST: Publish FIPS CMVP web snapshots.

# Summary

- Monitoring the certification artifacts yields more visibility into the ecosystem.
- Deep analysis is thwarted by lack of structure.
- Artifacts written in natural language? Leverage natural language processing.
- Sec-certs conceived to aid vulnerability impact assessment.
- Our weekly-updated results available from [sec-certs.org](https://sec-certs.org).

## Follow up

- Talk to us here.
- Tell us if we're wrong.
- Mail us feature requests.

Learn more at [sec-certs.org](https://sec-certs.org)

